IAP6 Rec'd PCT/PTO 03 FEB 2006 PCT

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<i>[8]</i>	Application Number			10/537,392								
TRANSMITTAL FORM			Filing Date			June 2, 2005						
			First Named Inventor		itor	Stephen BARDELL et al.						
(to be used for all correspondence after initial filing)			Group Art Unit			To Be Assigned						
	Examiner Name			To Be Assigned								
Total Number of Pages in This Submission 2			Attorney Docket Number			41557-218986						
ENCLOSURES (check all that apply)												
			Assignment & Recordation Sheet			After Allowance Communication to Group						
Fee Attached	☐ Drawing(s)				Appeal Communication to Board of Appeals and Interferences							
Preliminary Amen	Licensing-related Papers				Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)							
After Final		Petition				Proprietary Information						
Declaration & Power of Attorney		Pêtition to Convert to a Provisional Application				Status Letter						
				y, Revocatio spondence A		Other Enclosure(s) (please identify below):						
Express Abandonment Request			Terminal Disclaimer Request for Refund									
∑ Third Information Disclosure Statement; Form PTO/SB/08A; Copies of Great Britain Search Report w/ 2 Cited References		CD, Number of CD(s)										
Claim for Priority; Certified Copy of Priority Document		Remarks										
Preliminary Amendment												
Copy of Response to Notification of Missing Requirements												
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT												
Firm or Individual name	Robert Kinbert					26694 PATENT AND TRADEMARK OFFICE						
Signature												
Date	Date 2/3/06											
CERTIFICATE OF MAILING												
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope												
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Date

PTO/SB/17 (12-04v2)
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Fees pursuant to	Effective on 12/08, the Consolidated Approp	Application Nun	pher 1	10/537,392									
•	• • •	Filing Date		June 2, 2005									
FEE TRANSMITTAL				First Named Inv	entor S	Stephen Bardell et al.							
	For FY 20		Examiner Name		Not Yet Assigned								
Applican	nt claims small entity star	Ì	Art Unit	N	N/A								
TOTAL AMOU	NT OF PAYMENT		Attomey Docket	No. 4	1557-218986								
METHOD OF PAYMENT (check all that apply)													
Check Credit Card Money Order None Other (please identify):													
x Deposit Account Deposit Account Number: 22-0261 Deposit Account Name: Venable LLP													
For the	above-identified depo	osit account, the Dire	ctor is	hereby authorize	ed to: (check	all that apply)							
хc	harge fee(s) indicated	d below		Charge	e fee(s) indi	cated below, ex	cept for t	he filing fee					
Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17													
	LATION (All the fee		on fili	ng or may be su	bject to a s	urcharge.)							
1. BASIC FILIN	G, SEARCH, AND E	XAMINATION FEES	;										
	FI	LING FEES	SEA	ARCH FEES	EXAMINA	ATION FEES							
Application T	ype <u>Fee (</u> \$	Small Entity Show Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees I	Paid (\$)					
Utility	300	150	500	250	200	100							
Design	200	100	100	50	130	65							
Plant	200	100	300	150	160	80							
Reissue	300	150	500	250	600	300							
Provisional	200	100	0	0	0	0							
2. EXCESS CL	AIM FEES					•		Small Entity					
Fee Description Fee (\$)													
Each claim over 20 (including Reissues) 50													
-	ent claim over 3 (incl	uding Reissues)					200	100					
Multiple depend	dent claims						360	180					
Total Claims	Extra Claims	Fee (\$)	Fee P	aid (\$)	Mul	tiple Depende	dent Claims						
- 20 = x = Fet HP = highest number of total claims paid for, if greater than 20.							ee Paid (\$	<u>3)</u>					
Indep. Claims	indep. Claims				-		-	_					
-3= x =													
HP = highest numb	er of independent claims	paid for, if greater than 3.											
3. APPLICATION If the specifics	ation and drawings e	xceed 100 sheets of	naner (excluding electr	onically file	d sequence or o	computer						
listings und	ler 37 CFR 1.52(e)),	the application size	fee du	e is \$250 (\$125 f	or small ent	ity) for each ac	ditional 5	0					
sheets or fr	action thereof. See 3	35 U.S.C. 41(a)(1)(C	i) and (37 CFR 1.16(s).									
Total Sheet	ts Extra Sheet	Number of	each ac	dditional 50 or frac	tion thereof	Fee (\$)	Fee	Paid (\$)					
100 = /50 (round up to a whole number) × =													
4. OTHER FEE	• •		••				Fees	Paid (\$)					
Non-English Specification, \$130 fee (no small entity discount)													
Other (e.g.,	late filing surcharge)	:											
SUBMITTED BY		<u></u>					-						
Signature Registra (Attorney					26,924	Telephone	(202) 34	4-4000					
Name (Print/Type)							Date February 2, 2006						



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Stephen BARDELL et al.

Appln. No. 10/537,392

Confirmation No. 1197

Filed: June 2, 2005

For: ELECTRON BEAM TUBES

Art Unit: To Be Assigned

Examiner: To Be Assigned

Atty. Docket No. 41557-218986

Customer No. 26694 PATENT TRADEMARK OFFICE

Third Information Disclosure Statement

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(b).

In order to comply with the duty of disclosure pursuant to 37 C.F.R. § 1.56, submitted herewith is a Form PTO/SB/08A listing two of the five documents cited in the attached United Kingdom Patent Office Examination Report of Application No. GB 0228011.3, to which priority is claimed in the present application. Please note that the other three cited references (GB 2278720A, 2172425A and 2172424A) were included on the PTO/SB/08A forms that were submitted with the Information Disclosure Statements filed on June 2, 2005 and December 16, 2005. The relevance of each document is indicated in the Search Report. Copies of the references are included herewith.

Application No. 10/537,392 Applicants: Stephen BARDELL *et al.*

The present IDS is being filed before the mailing date of the first Office Action on the

merits and, therefore, no Statement Under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is

required.

In view of the above, no further statement of relevance need be given and, as all

requirements of 37 C.F.R. § 1.97 and § 1.98, and all official guidelines pertaining to Information

Disclosure Statements have been complied with, it is respectfully requested that the Examiner

consider the cited publications and make them of record.

Respectfully submitted,

Date: 2/3/05

Robert Kinberg

Registration No. 26,924

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RK/mks #720937

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FIRM PRADEN

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ubstitute for form 1449A/PTO Complete if Known Application Number 10/537,392 INFORMATION DISCLOSURE **JUNE 2, 2005** Filing Date STATEMENT BY APPLICANT Stephen BARDELL et al. First Named Inventor To Be Assigned Group Art Unit (use as many sheets as necessary) To Be Assigned **Examiner Name**

41557-218986 Sheet of Attorney Docket Number **U.S. PATENT DOCUMENTS** U.S. Patent Document Name of Patentee or Applicant Date of Publication of Pages, Columns, Lines, Where Examiner Relevant Passages or Relevant Figures Appear Kind Code² of Cited Document Cited Document Initials Number MM-DD-YYYY (if known) FOREIGN PATENT DOCUMENTS Foreign Patent Document Pages, Columns, Name of Patentee or Date of Publication Lines, Where Cite Examiner Applicant of Cited of Cited Document Relevant Passages Office Code5 (if Initials* Nο Number⁴ Document MM-DD-YYYY or Relevant Figures known) Τe Appear GB 784742 Eitel-McCullough, Inc. 10-16-1957 AA Marconi Applied AB GB 2360125 12-09-2001 **Technology Limited** OTHER PRIOR ART - PENDING PATENT APPLICATIONS Cite Examiner No.1 Initials * **Examiner Signature Date Considered**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 #720930



¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached.